# FORM D

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Mail Processing Section

Washington, DC

Section FORM D

SEP DE SELECTION NOTICE OF SALE OF S

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

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	Serial

Name of Offering (☐ check if this is an amendment	and name has changed, and	indicate change.)				
An offering of A Interests, C Interests and I	Interests					
Filing Under (Check box(es) that apply):   Rule 5	04 □ Rule 505	□ Rule 506	☐ Section	4(6) U	LOE	
Type of Filing: New Filing Amendment						
	A. BASIC IDENTI	FICATION DATA	A			
1. Enter the information requested about the issuer						
Name of Issuer ( check if this is an amendme	nt and name has changed, ar	nd indicate change.)				
Graham Alternative Investment ASW Fu	nd, a Series of Wachov	ia Alternative St	rategies Man	aged Future	es & Commodities	
Platform, LLC				_		
Address of Executive Offices (Number and Street, C	City, State, Zip Code)		Telephone N	lumber (Includi	ing Area Code)	
401 S. Tryon Street, TH3, Charlotte, North O	(704) 383-6369					
Address of Principal Business Operations (Number a	ode)	Telephone Number (Including Area Code)				
(if different from Executive Offices)						
Brief Description of Business						
Investment Fund						
Type of Business Organization						
☐ corporation	☐ limited partnership, alre	eady formed	🛛 other (	please specify)	Limited Liability Company	
business trust	<ul> <li>limited partnership, to b</li> </ul>	e formed				
		<u>Month</u>	<u>Year</u>	_		
Actual or Estimated Date of Incorporation or Organi	zation:	<u>12</u>	<u>2005</u>	✓ Actual	☐ Estimated	
Jurisdiction of Incorporation or Organization: (Ente	r two-letter U.S. Postal Servi	ce abbreviation for S	State; DE			
	CN for Canada; FN for of	her foreign jurisdicti	ion)			

## GENERAL INSTRUCTIONS

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

# ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure To file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEP 112008

THOMSON REUTERS



A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
• Each promoter of the issuer, if the issuer has been organized within the past five years;
<ul> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;</li> </ul>
<ul> <li>Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and</li> </ul>
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Wachovia Alternative Strategies, Inc.
Business or Residence Address (Number and Street, City, State, Zip Code)
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Taback, Adam I.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Ferro, Dennis H.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Munn, W. Douglas
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Koonce, Michael H.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Moss, Matthew C.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Brown, Sheelpa P.  Business of Residence Address (Number and Street City State 7in Code)
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
Each promoter of the issuer, if the issuer has been organized within the past five years;
<ul> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;</li> </ul>
Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Lapple, Barbara Ann
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Nakano, Yukari
Business or Residence Address (Number and Street, City, State, Zip Code)
200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)
Patterson, Britta
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Ballantine, Jacqueline .
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Coltrin, Robert D.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Curry, Barbara R.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
DeBerry, Jerry W.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Ernhart, Danielle B.  Business of Pasidenes Address (Number and Street City State 7in Code)
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157
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A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
<ul> <li>Each promoter of the issuer, if the issuer has been organized within the past five years;</li> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the</li> </ul>
issuer;
• Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Lipsett, Lloyd
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Mullis, Carol
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 301 S. Tryon Street, TH3, Charlotte, North Carolina 28202-6000
Check Box(es) that Apply:
Ouellette, Kevin
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Schwartz, William H.
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply:  Promoter Beneficial Owner  Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Sweetman, James W.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Nicolosi, Sean
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Veverka, Brian
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Mazitova, Natalia  Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
Each promoter of the issuer, if the issuer has been organized within the past five years;
<ul> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;</li> </ul>
• Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers    Compared to the compared to t
Check Box(es) that Apply:  Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner  Full Name (Last name first, if individual)
Kumar, Anil
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Chang, Lu
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Lenarcic, Justin Scott
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Stallings, Elizabeth  Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157
Check Box(es) that Apply:
Full Name (Last name first, if individual)
$\cdot$
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)

		<u> </u>			•	B. INF	ORMAT	ION AB	OUT OF	FERING			· · · · · ·		
1.	Н	las the is	suer sold o	or does the	issuer inte	end to sell,	to non-acc	redited inv	estors in tl	nisoffering	?		Yes	No ⊠	
					Answer	also in App	endix, Co	lumn 2, if	filing unde	r ULOE					
2.	What	is the mir	nimum inv	estment th	at will be	accepted fi	om any inc	dividual?					\$100,000	*	
		May be v	waived			-									
3.		•		joint owne	rship of a	single unit	?							Yes ⊠	No □
4.	any co the off SEC a	ommissio fering. If ind/or wi are assoc	n or simila faperson thastate o	uested for ir remunerato be listed or states, listed	ation for so is an asso it the name	olicitation of ciated persection of the bro	of purchase on or agen ker or deal	ers in conn it of a brok ler. If mor	ection with er or deale: e than five	sales of se r registered (5) person	ecurities in I with the s to be	1			
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		1 Tryon	Street Broker or	Donlar											
			Carolina												
				Has Solici	ted or Inte	nds to Sol	cit Purcha	sers							
(Ch	eck "Al			ndividual S	States)								_	] All Sta	tes
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		-		individual)	)										
			ties, LLC			<u> </u>									
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		-	reet, WS Broker of									<u> </u>			
•		d, VA 2		Dealer											
Stat	es in W	hich Per	son Listed	Has Solici	ted or Inte	nds to Sol	cit Purcha	sers				· -			<del></del>
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Bus	iness or	r Residen	ce Addres	s (Number	and Stree	t, City, Sta	te, Zip Coc	ie)							
Nan	ne of A	ssociated	Broker or	Dealer											
				Has Solici				sers						———— □ All St	ates
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[IL]	[	IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]		
[MT [RI]		NE] SC]	[NV] [SD]	(NH) [TN]	[NJ] [TX]	(NM) [UT]	(NY) [VT]	[NC] [VA]	[ND] [WA]	[OH] [WV]	[OK] [WI]	[OR] [WY]	[PA] [PR]		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	C. OFFERING PRICE, NO. OF INVESTORS, EXPENSES AND US	E OF PROCEEDS	
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \( \square\) and indicate in the column below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$0	<b>\$</b> 0
	Equity	\$0	\$0
	☐ Common ☐ Preferred	\$0	\$0
	Convertible Securities (including warrants)	\$0	\$0
	Partnership Interests	<b>\$</b> 0	\$0
	Other (Specify: Limited Liability Company Interests	\$No Maximum	\$125,927,761
	Total	\$No Maximum	\$125,927,761
2.	Answer also in Appendix, Column 3, if filing under ULOE  Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of		-
	their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number	Aggregate Dollar
		Investors	Amount of Purchases
	Accredited Investors	294	\$125,927,761
	Non-accredited Investors	0	0
	Total (for filing under Rule 504 only)		
	Answer also in Appendix, Column 4, if filing under ULOE		•
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C-Question 1.		
	Type of offering	Type of Security	Dollar Amount Sold
	Rule 505	N/A	N/A
	Regulation A	N/A	N/A
	Rule 504	N/A	N/A
	Total	N/A	N/A
4.a.	Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		\$0
	Printing and Engraving Costs		\$0
	Legal Fees	lacktriangle	\$50,000
	Accounting Fees		\$0
	Engineering Fees		\$0
	Sales Commissions (Specify finder's fees separately)	_	\$1,500,000
	Other Expenses (identify): Blue Sky Fees, miscellaneous	$\boxtimes$	\$15,000
	Total	oxtimes	\$1,565,000

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C.	OFFERING PRICE, NUMBER OF INVESTORS, EXPEN	ISES AND USE OF PROCEEDS				
b.	Enter the difference between the aggregate offering price give total expenses furnished in response to Part C-Question 4.a proceeds to the issuer."		⊠		\$No	Maximum
5.	Indicate below the amount of the adjusted gross proceeds to for each of the purposes shown. If the amount for any purpocheck the box to the left of the estimate. The total of the gross proceeds to the issuer set forth in response to Part C-Qu	se is not known, furnish an estimate and payments listed must equal the adjusted				
			Payments to Officers, Directors, & Affiliates		Pa	ayments To Others
	Salaries and Fees		<b>□ \$</b> 0		\$0	
	Purchase of real estate	•••••	□ \$0		\$0	
	Purchase, rental or leasing and installation of machinery	and equipment	□ \$0		\$0	
	Construction or leasing of plant buildings and facilities		<b>□ \$</b> 0		\$0	
	Acquisition of other businesses (including the value of s may be used in exchange for the assets or securities of a		<b>□ \$0</b>		\$0	
	Repayment of indebtedness		□ \$0		\$0	
	Working Capital		SNo Maximum	$\boxtimes$	\$	
	Other (specify) Investments in Portfolio Securities		<b>□ \$</b> 0		\$0	
	Column Totals		<b>□ \$</b> 0	$\times$	\$	
	Total Payments Listed (column totals added)		<b>⊠ \$</b> N	lo Max	kimum	
	D. FEDERA	L SIGNATURE				
ne vrit 02.	issuer has duly caused this notice to be signed by the unders following signature constitutes an undertaking by the issuer ten request of its staff, the information furnished by the issue	to furnish to the U.S. Securities and Exc r to any non-accredited investor pursuant t	change Commission,	upon		
he vrit 02. ssu	issuer has duly caused this notice to be signed by the unders following signature constitutes an undertaking by the issuer ten request of its staff, the information furnished by the issuer ter (Print or Type)	igned duly authorized person. If this noti to furnish to the U.S. Securities and Ex-	change Commission, to paragraph (b)(2) of Date	upon Rule		
he vrit 302. ssu Gra Ser	issuer has duly caused this notice to be signed by the unders following signature constitutes an undertaking by the issuer ten request of its staff, the information furnished by the issue	igned duly authorized person. If this noti to furnish to the U.S. Securities and Ex- r to any non-accredited investor pursuant t	change Commission, to paragraph (b)(2) of	upon Rule	008	
he vrit 02. ssu Gra Ser	issuer has duly caused this notice to be signed by the unders following signature constitutes an undertaking by the issuer ten request of its staff, the information furnished by the issuer (Print or Type)  Tham Alternative Investment ASW Fund, a ies of Wachovia Alternative Strategies Managed	igned duly authorized person. If this noti to furnish to the U.S. Securities and Ex- r to any non-accredited investor pursuant t	change Commission, to paragraph (b)(2) of Date	upon Rule	008	
he writ 02. ssu Gra Ser Tut	issuer has duly caused this notice to be signed by the unders following signature constitutes an undertaking by the issuer ten request of its staff, the information furnished by the issuer er (Print or Type) Tham Alternative Investment ASW Fund, a ies of Wachovia Alternative Strategies Managed tures & Commodities Platform, LLC	igned duly authorized person. If this noti to furnish to the U.S. Securities and Extra to any non-accredited investor pursuant to Signature	change Commission, to paragraph (b)(2) of Date August	upon Rule	008	
he writ 602. ssu Gra Ser Fut	issuer has duly caused this notice to be signed by the unders following signature constitutes an undertaking by the issuer ten request of its staff, the information furnished by the issue er (Print or Type)  That Alternative Investment ASW Fund, a ies of Wachovia Alternative Strategies Managed tures & Commodities Platform, LLC  The of Signer (Print or Type)	igned duly authorized person. If this noti to furnish to the U.S. Securities and Extra to any non-accredited investor pursuant to Signature  Title of Signer (Print or Type)	Date August	upon Rule 2, 20	008	



Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)